PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 /pe a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE row the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Numb r 10/603,794 TRANSMITTAL Filing Dat June 25, 2003 **FORM First Named Inventor** Syed F.A. Hossainy (to be used for all correspondence after initial filing) **Group Art Unit** 1762 Unassigned **Examiner Name** Total Number of Pages in This Submission **Attorney Docket Number** 50623.221 15 (excluding references) ENCLOSURES (check all that apply) After Allowance Communication to Deposit Account 07-1850 (in duplicate) Authorization Sheets with Appeal Communication to Board of Drawing(s) In/Formal _ Postage Paid Return Postcard Submission of Drawings Transmittal Appeals and Interferences Appeal Communication to Group Issue Fee Transmittal with PTO-85b Amendment / Response (Appeal Notice, Brief, Reply Brief) (in duplicate) Request for Continued Examination Amendment Transmittal Letter (in Proprietary Information duplicate) Transmittal (RCE) Fee Transmittal Form (in duplicate) Status Letter Affidavits/declaration(s) Power of Attorney, Revocation Petition for Extension of Time (___ Other Enclosure(s) Change of Correspondence Address (please identify below): months) (in duplicate) Terminal Disclaimer Information Disclosure Statement (in duplicate) with Form PTO-1449 Request for Refund and 239 References Express Mail Label No. CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Jr., Reg. No. 47,791 Individual name

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 1, 2003 Typed or printed name Judi Stillwell Signature Date October 2, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Examiner:

Unassigned

Syed F.A. Hossainy et al.

Serial No.: 10/603,794

Art Unit:

1762

Filed:

June 25, 2003

Title:

THERMAL TREATMENT OF A DRUG ELUTING IMPLANTABLE

MEDICAL DEVICE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R.

1

§1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: 10/7/03

SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully submitted,

Paul J. Meyer, Jr.

Attorney for Applicants

Reg. No. 47,791

OCT 1 0 2003

over for use through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Ap 50623.221

Application No. 10/603,794

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Syed F.A. Hossainy

Filing Date
June 25, 2003

Applicant

Group Art Unit

1762

0.55	ST ST		U.S. PATE	NT DOCUMENTS		1 7	
AANE Annier	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date Appropriat
	A1	4,329,383	5/11/82	Joh	428	36	
	A2	4,733,665	3/29/88	Palmaz	128	343	
	А3	4,800,882	1/31/89	Gianturco	128	343	
	A4	4,882,168	11/21/89	Casey et al.	424	468	
	A5	4,886,062	12/12/89	Wiktor	128	343	
	A6	4,941,870	7/17/90	Okada et al.	600	36	
-	A7	4,977,901	12/18/90	Ofstead	128	772	
	A8	5,112,457	5/12/92	Marchant	204	165	
	A9	5,165,919	11/24/92	Sasaki et al.	424	488	
	A10	5,272,012	12/21/93	Opolski	428	423.1	
	A11	5,292,516	3/8/94	Viegas et al.	424	423	
	A12	5,298,260	3/29/94	Viegas et al.	424	486	
	A13	5,300,295	4/5/94	Viegas et al.	424	427	
	A14	5,306,501	4/26/94	Viegas et al.	424	423	
	A15	5,328,471	7/12/94	Slepian	604	101	
	A16	5,330,768	7/19/94	Park et al.	424	501	
	A17	5,380,299	1/10/95	Fearnot et al.	604	265	
	A18	5,417,981	5/23/95	Endo et al.	424	486	
	A19	5,447,724	9/5/95	Helmus et al.	424	426	
	A20	5,455,040	10/3/95	Marchant	424	426	
	A21	5,462,990	10/31/95	Hubbell et al.	525	54.1	
	A22	5,464,650	11/7/95	Berg et al.	427	2.30	
	A23	5,569,463	10/29/96	Helmus et al.	424	426	

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US DEPARTMENT OF COMMERCE

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Group Art Unit 1762

	S		U.S. PAT	ENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date it Appropriate
	A24	5,578,073	11/26/96	Haimovich et al.	623	1	=r=,-o-
	A25	5,605,696	2/25/97	Eury et al.	424	423	
	A26	5,609,629	3/11/97	Fearnot et al.	623	1	
	A27	5,624,411	4/29/97	Tuch	604	265	
	A28	5,628,730	5/13/97	Shapland et al.	604	21	
	A29	5,649,977	7/22/97	Campbell	623	1	
	A30	5,658,995	8/19/97	Kohn et al.	525	432	
	A31	5,667,767	9/16/97	Greff et al.	424	9.411	
	A32	5,670,558	9/23/97	Onishi et al.	523	112	
	A33	5,679,400	10/21/97	Tuch	427	2.14	
	A34	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A35	5,702,754	12/30/97	Zhong	427	2.12	
	A36	5,716,981	2/10/98	Hunter et al.	514	449	
	A37	5,735,897	4/7/98	Buirge	623	12	
	A38	5,746,998	5/5/98	Torchilin et al.	424	9.4	
	A39	5,776,184	7/7/98	Tuch	623	1	· ·
	A40	5,788,979	8/4/98	Alt et al.	424	426	-
	A41	5,800,392	9/1/98	Racchini	604	96	
	A42	5,820,917	10/13/98	Tuch	427	2.1	
	A43	5,824,048	10/20/98	Tuch	623	1	
	A44	5,824,049	10/20/98	Ragheb et al.	623	1	
	A45	5,830,178	11/3/98	Jones et al.	604	49	

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INFORMATION DISCLOSURE CITATION

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Docket No. Application No. 50623.221 10/603,794

Applicant Syed F.A. Hossainy

Filing Date Group Art Unit
June 25, 2003 1762

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A46	5,837,008	11/17/98	Berg et al.	623	1	· · · · · · · · · · · · · · · · · · ·
	A47	5,837,313	11/17/98	Ding et al.	427	2.21	
	A48	5,851,508	12/22/98	Greff et al.	424	9.411	
	A49	5,858,746	1/12/99	Hubbell et al.	435	177	
	A50	5,865,814	2/2/99	Tuch	604	265	
	A51	5,869,127	2/9/99	Zhong	427	2.12	
	A52	5,873,904	2/23/99	Ragheb et al.	623	1	
	A53	5,876,433	3/2/99	Lunn	623	1	
	A54	5,877,224	3/2/99	Brocchini et al.	514	772.2	
	A55	5,925,720	7/20/99	Kataoka et al.	525	523	
	A56	5,955,509	9/21/99	Webber et al.	514	772.7	
	A57	5,971,954	10/26/99	Conway et al.	604	96	
	A58	5,980,928	11/9/99	Terry	424	427	
	A59	5,980,972	11/9/99	Ding	427	2.24	
	A60	5,997,517	12/7/99	Whitbourne	604	265	
	A61	6,010,530	1/4/00	Goicoechea	623	1	
	A62	6,015,541	1/18/00	Greff et al.	424	1.25	
	A63	6,033,582	3/7/00	Lee et al.	216	37	
	A64	6,042,875	3/28/00	Ding et al.	427	2.24	·
	A65	6,051,648	4/18/00	Rhee et al.	525	54.1	
	A66	6,051,576	4/18/00	Ashton et al.	514	255	
	A67	6,056,993	5/2/00	Leidner et al.	427	2.25	
	A68	6,060,451	5/9/00	DiMaio et al.	514	13	

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US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Application No. 10/603,794
Applicant

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Syed F.A. Hossainy

Filing Date Group Art Unit 1762

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A69	6,060,518	5/9/00	Kabanov et al.	514	781	
	A70	6,080,488	6/27/00	Hostettler et al.	428	423.3	
	A71	6,096,070	8/1/00	Ragheb et al.	623	1	ner ter riv
	A72	6,099,562	8/8/00	Ding et al.	623	1.46	
	A73	6,110,188	8/29/00	Narciso, Jr.	606	153	
	A74	6,110,483	8/29/00	Whitbourne et al.	424	423	
	A75	6,113,629	9/5/00	Ken	623	1.1	
	A76	6,120,536	9/19/00	Ding et al.	623	1.43	
	A77	6,120,904	9/19/00	Hostettler et al.	428	423.3	
	A78	6,121,027	9/19/00	Clapper et al.	435	180	
	A79	6,129,761	10/10/00	Hubbell	623	11	
	A80	6,153,252	11/28/00	Hossainy et al.	427	2.3	
	A81	6,165,212	12/26/00	Dereume et al.	623	1.13	
	A82	6,203,551	3/20/01	Wu	606	108	
	A83	6,214,901	4/10/01	Chudzik et al.	523	113	
	A84	6,231,600	5/15/01	Zhong	623	1.42	
	A85	6,240,616	6/5/01	Yan	29	527.2	
	A86	6,245,753	6/12/01	Byun et al.	514	56	
	A87	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	
	A88	6,254,632	7/3/01	Wu et al.	623	1.15	
	A89	6,258,121	7/10/01	Yang et al.	623	1.46	

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US DEPARTMENT OF COMMERCE

Docket No. US Patent and Trademark Office

Application No. 10/603,794

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OIP INFORMATION DISCLOSURE CITATION in an Application

Applicant

Syed F.A. Hossainy

50623.221

OCT 1 0 2003	(Use several sheets	·	Filing Date June 25, 200		roup Art Unit 1	762
		U.S. PAT	ENT DOCUMENTS		,	
OCT 1 0 2003	Document Number	Date of Patent	Name	Class	Subclass	Filing Da Appropr
A90	6,283,947	9/4/01	Mirzaee	604	264	
A91	6,283,949	9/4/01	Roorda	604	288.02	
A92	6,284,305	9/4/01	Ding et al.	427	2.28	
A93	6,287,628	9/11/01	Hossainy et al.	427	2.3	
A94	6,299,604	10/9/01	Ragheb et al.	604	265	
A95	6,306,176	10/23/01	Whitbourne	623	23.59	
A96	6,331,313	12/18/01	Wong et al.	424	427	
A97	6,335,029	1/1/02	Kamath et al.	424	423	
A98	6,346,110	2/12/02	Wu	606	108	
A99	6,358,556	3/19/02	Ding et al.	427	2.24	
A100	6,379,381	4/30/02	Hossainy et al.	623	1.42	<u> </u>
A101	6,387,124	5/14/02	Buscemi et al.	623	1.42	
A102	6,395,326	5/28/02	Castro et al.	427	2.24	
A103	6,419,692	7/16/02	Yang et al.	623	1.15	2/3/9
A104	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/0
A105	6,494,862	12/17/02	Ray et al.	604	96.01	12/30
A106	6,503,556	1/7/03	Harish et al.	427	2.24	12/28
A107	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/
A108	6,506,437	1/14/03	Harish et al.	427	2.25	10/17
A109	6,527,801	3/4/03	Dutta	623	1.46	4/13/

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US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Application No. 10/603,794

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INFORMATION DISCLOSURE CITATION in an Application

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Applicant Syed F.A. Hossainy

50623.221

Docket No.

Filing Date Group Art Unit

June 25, 2003 1762

& TRADE	(SEX.)		U.S. PAT	ENT DOCUMENTS		_	
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A110	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A111	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
**	A112	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A113	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
. أحد 11 \$ - 12 \$	A114	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
•	A115	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
	A116	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
	A117	6,565,659	5/20/03	Pacetti et al.	118	500	6/28/01
	A118	6,572,644	6/3/03	Moein	623	1.11	6/27/01
	A119	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00
	A120	6,585,926	7/1/03	Mirzaee	264	400	8/31/00
	A121	6,605,154	8/12/03	Villareal	118	500	5/31/01
	<u></u>	U.S. PATE	NT APPLICAT	TION PUBLICATION DOCU	MENTS		
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A122	2001/0018469	8/30/01	Chen et al.	523	121	
	A123	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	
	A124	2002/0077693	6/20/02	Barclay et al.	623	1.13	
0	A125	2002/0091433	7/11/02	Ding et al.	623	1.2	12/17/01
	A126	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01
	A127	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02
	A128	2003/0099712	5/29/03	Jayaraman	424	486	11/26/01

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Application No. Docket No. 50623.221 10/603,794

INFORMATION DISCLOSURE CITATION

in an Application

Syed F.A. Hossainy

OCT 1 0 2003 (Use several sheets if necessary)

Group Art Unit Filing Date June 25, 2003 1762

Examiner Initial	and the		FOREIGN P	ATENT DOCUMENTS				
Examiner	Ref. No.	Document	Date of	Country	Class	Subclass	Trans	
Initial	+	Number	Publication			<u> </u>	Yes	No
	B1	EP 0 301 856	2/1/89	European				***
	B2	EP 0 514 406	11/25/92	European				
	ВЗ	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				<u>.</u> ,
	B5	EP 0 665 023	8/2/95	European			-	
	В6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
	B8	EP 0 809 999	12/3/97	European				
	B9	EP 0 832 655	4/1/98	European				
	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European				
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
	B18	2001-190687	7/17/01	Japan (Abstract)	_		Х	
	B19	WO 91/12846	9/5/91	PCT				
	B20	WO 95/10989	4/27/95	PCT				
	B21	WO 96/40174	12/19/96	PCT				
	B22	WO 97/10011	3/20/97	PCT				
EXAMINER	<u>I</u> <u></u>		<u> </u>	DATE CONSIDERED				

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in an Application OCT 1 0 2003

Syed F.A. Hossainy

Filing Date June 25, 2003 Group Art Unit 1762

EMAREM	Ref. No.	Document	Date of	Country	Class	Subclass	Trans	lation
Initial		Number	Publication				Yes	No
	B23	WO 97/45105	12/4/97	PCT				
	B24	WO 97/46590	12/11/97	PCT				
	B25	WO 98/17331	4/30/98	PCT				
	B26	WO 98/36784	8/27/98	PCT				
	B27	WO 99/01118	1/14/99	PCT				
	B28	WO 99/38546	8/5/99	PCT				
	B29	WO 99/63981	12/16/99	PCT				
	B30	WO 00/02599	1/20/00	PCT				
	B31	WO 00/12147	3/9/00	PCT				
	B32	WO 00/18446	4/6/00	PCT				
	B33	WO 00/32238	6/8/00	PCT				
	B34	WO 00/64506	11/2/00	PCT				
	B35	WO 01/01890	1/11/01	PCT				
	B36	WO 01/15751	3/8/01	PCT				
	B37	WO 01/17577	3/15/01	PCT				
	B38	WO 01/45763	6/28/01	PCT				
	B39	WO 01/49338	7/12/01	PCT				
	B40	WO 01/74414	10/11/01	PCT			_	
	B41	WO 02/03890	1/17/02	PCT	0			
	B42	WO 02/056790	7/25/02	PCT				
	B43	WO 02/026162	4/4/02	PCT				

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FORM PTO		Modified) nrough 10/31/2002	US DEPARTMENT C		Docket No. 50623.221		Application No. 10/6	03,794		
		MATION DISCLO		NC	Applicant Syed F.A. Hossainy					
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Examiner	Ref. No.	. Document Date of			Country	Class	ass Subclass Tr		lation	
Initial		Number	Publication					Yes	No	
	B44	WO 02/34311	5/2/02		PCT					
	B45	WO 03/000308	1/3/03		PCT					
	B46	WO 03/022323	3/20/03		PCT	·				
	B47	WO 03/028780	4/10/03		PCT	-				
	B48	WO 03/037223	5/8/03		PCT	_				
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